

# Complete rotation hypersurfaces with $H_k$ constant in space forms

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**Abstract.** In this paper we classify all complete rotation hypersurfaces with  $H_k$  constant in  $\mathbb{R}^{n+1}$  and  $H^{n+1}$ , where  $H_k$  is the normalized k-th symmetric function of the principal curvatures. Partial results are also given for  $S^{n+1}$ .

Keywords: Rotation hypersurfaces, Space forms.

#### Introduction

Minimal surfaces are among the most studied objects in differential geometry. They are characterized by H=0, where H is the mean curvature of the surface. In recent years, some of their properties have been generalized to constant mean curvature hypersurfaces, and also, to hypersurfaces with  $H_k$  constant, where  $H_k$  is the normalized k-th symmetric function of the principal curvatures of the hypersurface.

Until now, there have been few examples of this second class of hypersurfaces. In [1], do Carmo and Dajczer studied the rotation hypersurfaces with constant mean curvature, and, some years later, Leite and Mori ([3], [4]) classified the complete rotation hypersurfaces (c.r.h., for short) with constant scalar curvature in space forms.

In this paper we follow the techniques on the papers above to classify c.r.h. with  $H_k$  constant in  $\mathbb{R}^{n+1}$ ,  $\mathbf{H}^{n+1}$  and  $\mathbf{S}^{n+1}$ . In the case of  $\mathbf{H}^{n+1}$ , we will describe all three types of rotational hypersurfaces, as defined in [1]. We mainly use Leite's methods, introduced to us by professor M. P. do Carmo, to whom we are indebted for encouragement and constant

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guidance. We had also fruitful discussions with M. L. Leite; some of the results here stated are contained in her joint work with Hounie [2], obtained independently from us.

### 1. Spherical rotation hypersurfaces in space forms

#### 1.1. Notation and basic facts

Let  $\bar{M}^{n+1}(c)$  be a complete, simply-connected riemannian manifold with constant curvature c, c = 0, -1, 1. Our models for  $\bar{M}^{n+1}$  will be the euclidean space  $\mathbb{R}^{n+1}$ , for c = 0; the upper semispace

$$\mathbf{H}^{n+1} = \{ x \in \mathbb{R}^{n+1}; x_{n+1} > 0 \},\$$

for c = -1; and the unit sphere  $\mathbf{S}^{n+1} \subseteq \mathbb{R}^{n+2}$ , for c = 1, with the usual metrics.

**Definition 1.** A (spherical) rotation hypersurface  $M^n \subseteq \bar{M}^{n+1}(c)$  is an O(n)-invariant hypersurface, where O(n) is considered as a subgroup of isometries of  $\bar{M}^{n+1}(c)$ .

**Remark.** Strictly speaking, we should add the word *spherical* to our definition in the case c = -1, because in this case do Carmo and Dacjzer [1] defined another types of rotations (giving rise to the so-called parabolic and hyperbolic hypersurfaces, which we will analyze in the second part of this paper). As in this first part of the paper we will consider only O(n)-invariant hypersurfaces, we will drop the word *spherical* for the moment.

O(n) fixes a geodesic  $\gamma$  (the revolution axis) and rotates a curve  $\alpha$ , called the profile curve. We choose  $\gamma$  as  $\{x \in \bar{M}^{n+1}(c); x_1 = \cdots = x_n = 0\}$  and  $\alpha$  contained in  $\{x \in \bar{M}^{n+1}(c); x_2 = \cdots = x_n = 0, x_1 \geq 0\}$ .

The orbit of every point in  $\alpha$  is an (n-1)-dimensional sphere. We choose as parameters of our rotation hypersurface  $(s, \Theta)$ , where s is the arc length of  $\alpha$  and  $\Theta = (\theta_1, \ldots, \theta_{n-1})$  parameterizes the (n-1)-dimensional sphere given by the orbit of  $\alpha(s)$ . We will also use the following notation: r(s) will denote the (Riemannian) distance from  $\alpha(s)$  to  $\gamma$ , realized by a point P(s) in  $\gamma$ , and h(s) will be the (Riemannian) height of P(s) in  $\gamma$ , with respect to a fixed point in  $\gamma$ . Then (see [3] or

[5]) the first fundamental form of M is given by

$$I = f^2(r(s)) \sum g_{ij}(\Theta) d\theta_i \otimes d\theta_j + ds \otimes ds$$

where  $g_{ij}$  is the metric of constant sectional curvature 1 in an (n-1)-dimensional sphere, and  $f(r) = r, \sinh r$ , or  $\sin r$ , for c = 0, -1, 1, respectively.

Also, the fact that the profile curve  $\alpha$  is parameterized by arc length imposes the following restriction over f and h:

$$\dot{r}^2 + \left(\frac{df}{dr}\right)^2 \dot{h}^2 = 1. \tag{1}$$

Theorem 1. (do Carmo, Dajczer [1].) The principal curvatures  $\kappa_i$  of M are

$$\kappa_i = \frac{\sqrt{1 - cf^2 - \dot{f}^2}}{f}$$

for i = 1, ..., n - 1, and

$$\kappa_n = -rac{\ddot{f} + cf}{\sqrt{1 - cf^2 - \dot{f}^2}}$$

where the dot denotes the derivative with respect to s.

The formulas in the theorem above are valid only when  $f^2 \leq 1 - cf^2$ . The set of pairs (f, f) satisfying this constraint and  $f \geq 0$  will be called the *relevant region*.

Let  $H_k$  be the normalized k-th symmetric function of the principal curvatures of an hypersurface:

$$\binom{n}{k}H_k = \sum_{1 \le i_1 < i_2 < \dots < i_k \le n} \kappa_{i_1} \kappa_{i_2} \cdots \kappa_{i_k} \tag{2}$$

**Proposition 1.** The rotation hypersurface  $M^n$  has the prescribed curvature  $H_k$ ,  $k \leq n$ , if and only if f satisfies the following differential equation:

$$nH_k f^k = (n-k)(1 - cf^2 - \dot{f}^2)^{\frac{k}{2}} - k(1 - cf^2 - \dot{f}^2)^{\frac{k-2}{2}} (\ddot{f} + cf)f$$
 (3) for  $k < n$ .

From now on, we will suppose that  $H_k$  is constant.

**Proposition 2.** Equation (3) is equivalent to its first integral

$$G_k(f, \dot{f}) = f^{n-k}((1 - cf^2 - \dot{f}^2)^{\frac{k}{2}} - H_k f^k) = A = const.$$
 (4)

for  $k \leq n$ .

**Proof.** We obtain (4) multiplying (3) by  $f^{n-k-1}$  and integrating.  $\Box$ 

For later reference, we write also the formula for the gradient of  $G_k$ :

$$\nabla G_k(f, \dot{f}) = f^{n-k-1} ((1 - cf^2 - \dot{f}^2)^{\frac{k-2}{2}} ((n-k)(1 - \dot{f}^2) - cnf^2) - nH_k f^k, -kf\dot{f}(1 - cf^2 - \dot{f}^2)^{\frac{k-2}{2}})$$

for k < n, and

$$\nabla G_n(f,\dot{f}) = (-ncf(1-cf^2-\dot{f}^2)^{\frac{n-2}{2}} - nH_nf^{n-1}, -n\dot{f}(1-cf^2-\dot{f}^2)^{\frac{n-2}{2}})$$
 for  $k=n$ .

Following Leite [3], we will obtain our results studying the level curves of  $G_k$ . The cases k = 1, 2 were studied in [1], [3] and [4] and some of the results here stated in the case k > 2 were obtained independently by Hounie and Leite in [2].

Equation (4) tells us that a local solution f of (3), paired with its first derivative, denoted  $(f, \dot{f})$ , is a level curve of the function

$$G_k(u,v) = u^{n-k}((1 - cu^2 - v^2)^{\frac{k}{2}} - H_k u^k)$$
(5)

with u > 0 and  $1 - cu^2 - v^2 \ge 0$ .

**Lemma 1.** The sets  $(f, \dot{f})$ , where f is a solution of (3), are the connected components of the level curves of  $G_k$  contained in the relevant region.

**Proof.** The theory of ODE implies that any local solution of (3) can be extended through values for which  $(f, \dot{f})$  is interior to the relevant region.

**Definition 2.** A solution of (3) is *complete* if either f is defined for all s or if the pair  $(f, \dot{f})$  only admits  $(0, \pm 1)$  as limit values.

Geometrically, complete solutions of (3) give rise to a complete rotation hypersurface. When  $(f, \dot{f})$  has (0, 1) or (0, -1) as limit value, we

claim that the profile curve meets orthogonally the axis of rotation, because  $\dot{f}^2 = 1$  implies  $(\frac{df}{dr}\frac{dr}{ds})^2 = 1$ ; but  $\frac{df}{dr}(0) = 1$ , so that  $(\frac{dr}{ds})^2 = 1$ ; substituting this into (1) we have  $\frac{dh}{ds} = 0$ , so  $\frac{dh}{dr} = 0$ ; this last equation proves our claim.

Before concluding this section, let us say that an hypersurface corresponding to a constant solution of (3) is called a *cylinder*. Also, we say that a rotation hypersurface  $M^n \subset \bar{M}^{n+1}(c)$  with axis  $\gamma$  is *cylindrically bounded* if there exist a complete cylinder with same axis  $\gamma$  such that M is contained in the closure of the component of  $\bar{M} - C$  containing  $\gamma$ .

# 1.2. Complete rotation hypersurfaces in $\mathbb{R}^{n+1}$

#### **1.2.1.** The case k < n

Equations (3) and (4) read in this case

$$nH_k f^k = (n-k)(1-\dot{f}^2)^{\frac{k}{2}} - k(1-\dot{f}^2)^{\frac{k-2}{2}} \ddot{f} f$$

$$G_k(f,\dot{f}) = f^{n-k}((1-\dot{f}^2)^{\frac{k}{2}} - H_k f^k) = A.$$

We first look for the cylinders in  $\mathbb{R}^{n+1}$  with  $H_k$  constant; they must satisfy the condition

$$nH_k f^k = n - k (6)$$

**Proposition 3.** (Complete cylinders with  $H_k$  constant in  $\mathbb{R}^{n+1}$ , k < n.)

- (i) There are no complete cylinders in  $\mathbb{R}^{n+1}$  with  $H_k < 0$ , k even.
- (ii) There are no complete cylinders in  $\mathbb{R}^{n+1}$  with  $H_k = 0$ .
- (iii) For every  $H_k > 0$ , there is a complete cylinder in  $\mathbb{R}^{n+1}$  given by

$$f^k = \frac{n-k}{nH_k}$$

**Proof.** It follows directly from equation (6).

We note also, in case (iii) of the above proposition, that the corresponding value of  $A = G_k(f, \dot{f})$ , which we denote by  $A_0$ , is given by

$$A_0 = \frac{k}{n} \left( \frac{n-k}{nH_k} \right)^{\frac{n-k}{k}}.$$

**Theorem 2.** (Classification of c.r.h. with  $H_k$  constant in  $\mathbb{R}^{n+1}$ , k < n)

(i) There are no c.r.h. in  $\mathbb{R}^{n+1}$  with  $H_k < 0$  for k even.

- (ii) Up to isometries, there is only one monoparametric family of embedded c.r.h. with  $H_k = 0$ , which converges to a hyperplane. If 2(n-k)/k = 1, the profile curve is a parabola, if (n-k)/k = 1, it is a catenary and if (n-k)/k > 1, it asymptotizes two horizontal lines.
- (iii) Up to isometries, there is only one monoparametric family of embedded c.r.h. with  $H_k$  constant for any  $H_k > 0$ ; these hypersurfaces are periodic and cylindrically bounded, and they converge, on one side, to a sequence of spheres, pairwise and vertically tangent; and on the other, to the cylinder given in case (iii) of Proposition 2.

**Proof.** Every level curve (see figure 1) can be seen as the smooth union of two graphs

$$(\pm \dot{f})^2 = 1 - (H_k f^k + \frac{A}{f^{n-k}})^{2/k}$$

Figure 1(a) corresponds to the case  $H_k < 0$ . For every A > 0, the corresponding level curve leaves the relevant region when  $H_k f^n + A = 0$ , so there are no complete hypersurfaces in this case.

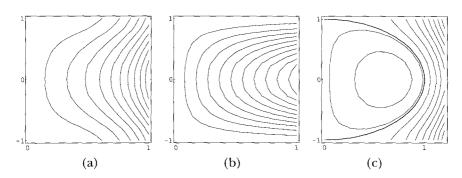


Figure 1: Level curves of  $G_k$ , k < n, for  $\mathbb{R}^{n+1}$ . (a)  $H_k < 0$ ; (b)  $H_k = 0$ ; and (c)  $H_k > 0$ .

Now, let us consider  $H_k = 0$ ;  $G_k$  has the form

$$G_k(f,\dot{f}) = f^{n-k}(1-\dot{f}^2)^{\frac{k}{2}} = A$$
 (7)

From this formula and the restrictions over f and  $\dot{f}$ , we have that the set of admissible values for A is  $[0, \infty)$ . A = 0 gives  $\dot{f}^2 = 1$ , so that

 $f(s) = r(s) = \pm s$  and h(s) = 0, equations corresponding to a hyperplane. If  $A \neq 0$ , we solve (7) for  $\dot{f}^2$  to obtain

$$\dot{f}^2 = 1 - \left(\frac{A}{f^{n-k}}\right)^{\frac{2}{k}}.$$

This expression shows that, for every such A, f can assume arbitrarily large values, so r = f has no upper bound and every corresponding hypersurface is not cylindrically bounded. Also, f = r attains a minimum  $r_1 > 0$  and this last expression let us set  $A = r_1^{n-k}$ . We use (1) to write

$$\dot{h}^2 = \left(\frac{A}{f^{n-k}}\right)^{\frac{2}{k}}.$$

Away from  $r_1$ , we divide  $\dot{h}^2$  by  $\dot{f}^2 = \dot{r}^2$  to get

$$\left(\frac{dh}{dr}\right)^2 = \frac{r_1^{2(n-k)/k}}{r^{2(n-k)/k} - r_1^{2(n-k)/k}}$$

This implies that h is given by the following integrals:

$$h = \pm r_1^{(n-k)/k} \int \frac{1}{\sqrt{r^{2(n-k)/k} - r_1^{2(n-k)/k}}}$$

The analysis of the convergence of these integrals for 2(n-k)/k = 1, (n-k)/k = 1 and (n-k)/k > 1 was done in [3] (p. 294) and we shall omit it. We must mention that Hounie and Leite [2] made a more detailed analysis of the convergence of this integrals, so we remit the interested reader to their paper.

When  $H_k > 0$ , the level curves [see figure 1(c)] corresponding to complete hypersurfaces are given by  $A \in [0, A_0]$ , where  $A_0$  is the value obtained after Proposition 2; the value A = 0 gives, for example, the portion of the ellipse

$$H_k^{2/k} f^2 + \dot{f}^2 = 1$$

contained in the relevant region; this curve joins (0, 1) to (0, -1), and its corresponding hypersurface is a sphere parameterized by

$$r(s) = \frac{1}{H_k^{1/k}} \sin\left(H_k^{1/k} s\right), h(s) = \frac{1}{H_k^{1/k}} \cos\left(H_k^{1/k} s\right)$$

The translations of this sphere along the revolution axis give the sequence of spheres pairwise and vertically tangent.

As we said before, the value  $A = A_0$  gives a cylinder; all level curves given by  $A \in (0, A_0)$  correspond to complete, periodic and cylindrically bounded hypersurfaces (the proof of this fact is entirely similar to that of the case k = 2, which can be seen in [3], p. 295).

#### **1.2.2.** The case k = n

In this case, equation (3) takes the form

$$H_n f^n = -(1 - \dot{f}^2)^{\frac{n-2}{2}} \ddot{f} f \tag{8}$$

Again, first we look for constant solutions of (8), but in this case, the condition  $\dot{f} = 0$  implies  $H_n = 0$ ; conversely,  $H_n = 0$  implies that every constant f = c is solution of (8).

**Proposition 4.** There are complete cylinders with  $H_n$  constant in  $\mathbb{R}^{n+1}$  if and only if  $H_n = 0$ .

Now we study the general case.

**Theorem 3.** (Classification of c.r.h. with  $H_n$  constant in  $\mathbb{R}^{n+1}$ ) The only c.r.h. with  $H_n$  constant in  $\mathbb{R}^{n+1}$  are the hyperplanes, the cylinders and the spheres.

**Proof.** Figure 2(a) shows the level curves of  $G_n$  for  $H_n < 0$ ; again, it is easy to show that every level curve leaves the relevant region. Also, figure 2(c) shows the level curves for  $H_n > 0$ ; in this case, the only complete solution of (8), according to our definition, corresponds to the value A = 0, which gives, for example, the sphere parameterized by

$$\begin{split} r(s) &= \frac{1}{H_n^{1/n}} \sin\left(H_n^{1/n} s\right), \\ h(s) &= \frac{1}{H_n^{1/n}} \cos\left(H_n^{1/n} s\right) \end{split}$$

This implies that the only c.r.h. in  $\mathbb{R}^{n+1}$  with  $H_n \neq 0$  are the spheres.

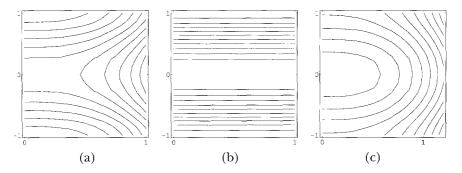


Figure 2: Level curves of  $G_n$ , for  $\mathbb{R}^{n+1}$ . (a)  $H_n < 0$ ; (b)  $H_n = 0$ ; and (c)  $H_n > 0$ .

For  $H_n = 0$ , the level curves of

$$G_n(f, \dot{f}) = (1 - \dot{f}^2)^{n/2}$$

are horizontal lines [see figure 2(b)]; those corresponding to complete hypersurfaces are given by  $\dot{f}^2 = 1$  (so,  $f(s) = r(s) = \pm s$  and h(s) = 0, an hyperplane) and  $\dot{f} = 0$ , which gives a cylinder.

We note that, if the level curve has (0, a) as limit value, where  $a \in (-1, 1)$ , then the corresponding hypersurface meets the rotation axis with a non-right angle, so this hypersurface is not complete.

# 1.3. Complete rotation hypersurfaces in $H^{n+1}$

#### **1.3.1.** The case k < n

Let us write down the formulas (3) and (4) for this case:

$$nH_k f^k = (n-k)(1+f^2-\dot{f}^2)^{\frac{k}{2}} - k(1+f^2-\dot{f}^2)^{\frac{k-2}{2}} (\ddot{f}-f)f,$$

$$G_k(f,\dot{f}) = f^{n-k}((1+f^2-\dot{f}^2)^{\frac{k}{2}} - H_k f^k) = A. \tag{9}$$

We will use the hyperbolic expression of  $\dot{h}^2$ , obtained from (1):

$$\dot{h}^2 = \frac{1 - \dot{r}^2}{\cosh^2 s} = \frac{1 + f^2 - \dot{f}^2}{\left(1 + f^2\right)^2}.$$
 (10)

Before stating our next theorem, we recall that we are dealing with spherical hypersurfaces in  $\mathbf{H}^{n+1}$ .

**Theorem 4.** (Classification of c.r.h. with  $H_k$  constant in  $\mathbf{H}^{n+1}$ , k < n)

- (i) There are no c.r.h. with  $H_k < 0$  for k even.
- (ii) Up to isometries, there is only one monoparametric family of embedded c.r.h. with  $H_k \in [0,1)$ . These hypersurfaces are not cylindrically bounded, and for  $H_k = 0$ , they converge to a totally geodesic hyperbolic space  $\mathbf{H}^n$ . The profile curves are asymptotic to two geodesics.
- (iii) Up to isometries, there is only one monoparametric family of embedded c.r.h. with  $H_k = 1$ . These hypersurfaces are not cylindrically bounded and they converge to a horosphere.
- (iv) For any  $H_k > 1$ , there is a one-parameter family of embedded c.r.h. with  $H_k$  constant, periodic and cylindrically bounded, which converges to a sequence of geodesic spheres.

**Proof.** Again, we will study the level curves of  $G_k(f, \dot{f})$  with the restrictions  $1 + f^2 - \dot{f}^2 \ge 0$  and  $f \ge 0$ . The proofs are entirely similar to those in the euclidean case and we shall only point out some details. Figure 3 shows these level curves.

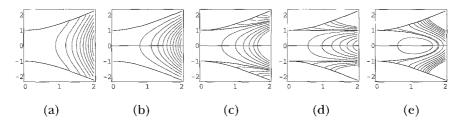


Figure 3: Level curves of  $G_k$ , k < n, for  $\mathbf{H}^{n+1}$  (spherical case). (a)  $H_k < 0$ ; (b)  $H_k = 0$ ; (c)  $H_k \in (0,1)$ ; (d)  $H_k = 1$ ; and (e)  $H_k > 1$ .

- (i) Figure 3(a) shows the case  $H_k < 0$ . Every level curve leaves the relevant region in a finite time, so we have no complete hypersurfaces in this case.
  - (ii) Figure 3(b) shows the case  $H_k = 0$ ; now,  $G_k$  can be written as

$$G_k(f,\dot{f}) = f^{n-k} \left( 1 + f^2 - \dot{f}^2 \right)^{k/2} = A$$
 (11)

If A = 0 and f(0) = 0, then  $f(s) = \sinh(s)$ , so that r(s) = s, h(s) = 0 and M is an n-dimensional hyperbolic subspace of  $\mathbf{H}^{n+1}$ .

Figure 3(c) shows the case  $H_k \in (0,1)$ ; if A = 0 and f(0) = 0, f is given by

$$f(s) = \frac{\sinh\left(\sqrt{1 - H_k^{2/k}}s\right)}{\sqrt{1 - H_k^{2/k}}}$$

For  $A \neq 0$ , the function f, and therefore r, has no upper bound, so no hypersurface is cylindrically bounded. Also, for every such A, f attains a unique minimum  $f_1$ . Using (9), we have

$$\dot{f}^2 = 1 + f^2 - \left(\frac{A + H_k f^n}{f^{n-k}}\right)^{2/k}$$

Away from  $f_1$ , we may divide  $\dot{h}^2$  given in (10) by  $\dot{f}^2$  to obtain

$$\left(\frac{dh}{df}\right)^2 = \frac{1}{(1+f^2)^2} \frac{(A+H_k f^n)^{2/k}}{(1+f^2)f^{2(n-k)/k} - (A+H_k f^n)^{2/k}}$$

but the second factor converges when  $f \to \infty$ ; this implies that h(f) is uniformly bounded, which means that the profile curves asymptotizes two geodesics.

(iii) [See figure 3(d)]; when A = 0 and  $H_k = 1$  in (9), we obtain  $1 - \dot{f}^2 = 0$ ; if f(0) = 0, then  $f(s) = \pm s$ . From (10),

$$\dot{h}^2 = \left(\frac{s}{1+s^2}\right)^2$$

If h(0) = 0, then  $\pm h(s) = \log \sqrt{1 + s^2}$ . Using polar coordinates  $(r, \phi)$  and recalling, from hyperbolic geometry, that  $\tan \phi = \sinh r(s) = f(s) = \pm s$  and  $e^h = \rho$  (where  $\rho$  and  $\pi/2 - \phi$  are the standard polar coordinates in the plane), we have  $\rho = (\sec \phi)^{\pm 1}$ . The level curve corresponding to  $\rho = \sec \phi$ , or  $\rho \cos \phi = 1$ , is a horizontal line; the curve corresponding to  $\rho = \cos \phi$  is the inverse of this horizontal line with respect to the unit circle. The associated hypersurfaces are horospheres.

(iv) Finally, figure 3(e) shows the level curves of  $G_k$  for  $H_k > 1$ . All facts asserted in (iv) can be proved as in the euclidean case for  $H_k > 0$ .

#### **1.3.2.** The case k = n

**Theorem 5.** (Classification of c.r.h. with  $H_n$  constant in  $\mathbf{H}^{n+1}$ )

- (i) There are no c.r.h. with  $H_n < 0$  for k even.
- (ii) Up to isometries, there is only one monoparametric family of embedded c.r.h. with  $H_n \in [0,1]$ , not cylindrically bounded.
- (iii) Up to isometries, there is only one monoparametric family of compact embedded c.r.h. with  $H_n > 1$ , cylindrically bounded, convergent on one side to a cylinder.

**Proof.** The level curves corresponding to this case appear in figure 4. Formulas (3) and (4) read

$$H_n f^n = -(1 + f^2 - \dot{f}^2)^{\frac{n-2}{2}} (\ddot{f} - f) f$$

$$G_n(f, \dot{f}) = (1 + f^2 - \dot{f}^2)^{\frac{n}{2}} - H_n f^n = A$$

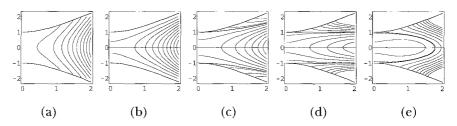


Figure 4: Level curves of  $G_n$ , for  $\mathbf{H}^{n+1}$  (spherical case). (a)  $H_n < 0$ ; (b)  $H_n = 0$ ; (c)  $H_n \in (0,1)$ ; (d)  $H_n = 1$ ; and (e)  $H_n > 1$ .

As before, when  $H_n < 0$ , the level curves of  $G_n$  leave the relevant region  $\dot{f}^2 - f^2 \le 1$  [see figure 4(a)].

When  $H_n = 0$  [see figure 4(b)], the level curves of  $G_n = A$  are hyperbolas (possibly degenerate). We obtain the following expressions for f:

$$f(s) = \begin{cases} \sinh s, & A = 0 \\ \sqrt{1 - A^{2/n}} \sinh s, & A \in (0, 1) \\ e^{\pm s}, & A = 1 \\ \sqrt{A^{2/n} - 1} \cosh s, & A > 1 \end{cases}$$

The more interesting case is A = 0, which gives, as in the previous case, an n-dimensional hyperbolic space.

The analysis of the remaining cases is similar to that of the case k < n; we show in Figures 4(c), (d) and (e) the behaviour of the level curves for  $H_n \in (0,1)$ ,  $H_n = 1$  and  $H_n > 1$ , respectively.

# 1.4. Complete rotation hypersurfaces in $S^{n+1}$

We only have partial results in this case; in particular, the problem of embeddedness is not as clear as in  $\mathbb{R}^{n+1}$  or  $\mathbf{H}^{n+1}$ . The level curves of  $G_k$  are similar to the ones obtained in [3].

#### **1.4.1.** The case k < n

Formulas (3) and (4) read:

$$nH_k f^k = (n-k)(1-f^2-\dot{f}^2)^{\frac{k}{2}} - k(1-f^2-\dot{f}^2)^{\frac{k-2}{2}}(\ddot{f}-f)f,$$

$$G_k(f,\dot{f}) = f^{n-k}((1-f^2-\dot{f}^2)^{\frac{k}{2}} - H_k f^k) = A.$$

First we study the critical points of  $G_k$ ; calculating  $\nabla G_k$  we see that these critical points must satisfy  $1 - f^2 - \dot{f}^2 = 0$ , in which case  $H_k = 0$ , or  $\dot{f} = 0$ , which gives the following condition on f:

$$(1 - f^2)^{\frac{k-2}{2}} ((n-k) - nf^2) - nH_k f^k = 0.$$

There is a special value of  $H_k$ , denoted by  $H_k^0$ , which has only one critical point; it is given by

$$H_k^0 = -\frac{2}{n} \left(\frac{k-2}{n-k}\right)^{\frac{k-2}{2}}.$$

For this value of  $H_k$ , the corresponding value of f satisfy

$$f^2 = \frac{n-k}{n-2}.$$

Now we can state our theorem; until now, we have only the following (partial) result.

**Theorem 6.** (c.r.h. with  $H_k$  constant in  $S^{n+1}$ , k < n)

- (i) There are no c.r.h. with  $H_k < H_k^0$  for k even.
- (ii) Up to isometries, there is only one c.r.h. (in fact, an embedded cylinder) with  $H_k = H_k^0$ .

**Proof.** (i) Figure 5(a) shows the level curves for  $H_k < H_k^0$ . Every level curve leaves this region in a finite time, so we have no complete hypersurfaces in this case.

(ii) The situation for this case is almost the same as in case (i), but now a critical point appears suddenly, giving rise to a cylinder [See figures 5(b) and (c)]. □

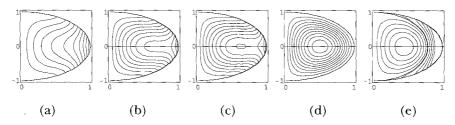


Figure 5: Level curves of  $G_k$ , k < n, for  $S^{n+1}$ . (a) and (b)  $H_k < H_k^0$ ; (c)  $H_k^0 < H_k < 0$ ; (d)  $H_k = 0$ ; and (e)  $H_k > 0$ .

We will make some remarks on the cases  $H_k > H_k^0$  in the final section of this paper.

#### **1.4.2.** The case k = n

The function  $G_n$  is given by

$$G_n(f,\dot{f}) = \left(1 - f^2 - \dot{f}^2\right)^{\frac{n}{2}} - H_n f^n = A$$

Figure 6 shows the level curves of  $G_n$  in this case.

If  $H_n < 0$  [Figures 6(a) and (b)], the only complete hypersurface corresponds to the unique critical point of  $G_n$ , which satisfies

$$nf(1-f^2)^{(n-2)/2} + nH_nf^{n-1} = 0,$$

or

$$1 = (1 + (-H_n)^{2/(n-2)})f^2.$$

All other level curves leave the relevant region.

If  $H_n \geq 0$  [Figures 6(c) and (d)],  $G_n$  has no critical points and the only complete solution according to our definition is obtained from  $G_n(f, \dot{f}) = 0$ , so that

$$1 = (H_n^{2/n} + 1)f^2 + \dot{f}^2.$$

We call the corresponding hypersurface a *parallel*, in analogy with the situation in  $S^2$ . We have then the following:

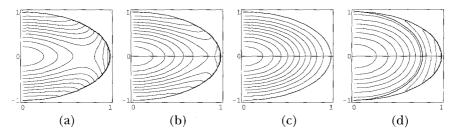


Figure 6: Level curves of  $G_n$ , for  $\mathbf{S}^{n+1}$ . (a) and (b)  $H_n < 0$ ; (c)  $H_n = 0$ ; and (d)  $H_n > 0$ .

**Theorem 7.** (c.r.h. with  $H_n$  constant in  $\mathbf{S}^{n+1}$ ) The only c.r.h. with  $H_n$  constant in  $\mathbf{S}^{n+1}$  are the cylinders and the parallels.

# 2. Rotation hypersurfaces in hyperbolic space

#### 2.1. Basic facts

In this section we define the parabolic and hyperbolic rotation hypersurfaces, as given in [1], using the hyperboloid model for the hyperbolic space; for completeness, we have included in the definitions the spherical case just analyzed.

Let

$$L^{n+2} = \{x = (x_1, \dots, x_{n+2}), x_i \in \mathbb{R}\}$$

with the Lorentzian metric

$$\langle x, y \rangle = -x_1 y_1 + x_2 y_2 + \dots + x_{n+2} y_{n+2},$$

where  $y = (y_1, \dots, y_{n+2})$ . The (n+1)-dimensional hyperbolic space is given by

$$\mathbf{H}^{n+1} = \{ x \in L^{n+2}; \langle x, x \rangle = -1 \}$$

An orthogonal transformation of  $L^{n+2}$  is a linear map preserving  $\langle , \rangle$ , and the orthogonal transformations define, by restriction, all isometries of  $\mathbf{H}^{n+1}$ .  $P^k$  will denote a k-dimensional linear subspace of  $L^{n+2}$ , and

 $O(P^k)$  will be the set of orthogonal transformations of  $L^{n+2}$  with positive determinant which leave  $P^k$  pointwise fixed.

**Definition.** Let  $P^2 \subset P^3$  and C be a regular  $C^2$  curve in  $P^3 \cap \mathbf{H}^{n+1}$  which does not meet  $P^2$ . The orbit of C under the action of  $O(P^2)$  is a spherical (resp. parabolic, hyperbolic) rotation hypersurface if  $\langle \ , \ \rangle|_{P^2}$  is a Lorentzian metric (resp. Riemannian metric, degenerate quadratic form).

In [1], do Carmo and Dajczer obtained explicit parameterizations for these hypersurfaces, as follows:

Let  $e_1, \ldots, e_{n+2}$  be a basis of  $L^{n+2}$  with the following conditions:

- 1.  $P^2$  is generated by  $e_{n+1}$  and  $e_{n+2}$ ;
- 2. (a)  $\langle e_{n+2}, e_{n+2} \rangle = -1$  (spherical case)
  - (b)  $\langle e_1, e_1 \rangle = \langle e_{n+1}, e_{n+1} \rangle = 0$ ,  $\langle e_1, e_{n+1} \rangle = 1$  (parabolic case)
  - (c)  $\langle e_1, e_1 \rangle = -1$  (hyperbolic case)
  - (d)  $\langle e_i, e_j \rangle = \delta_{ij}$  for all i, j not specified above.

If  $x = \sum x_i e_i$  and  $y = \sum y_i e_i$ , then  $\langle x, y \rangle$  is given by

$$x_1y_1 + \dots + x_{n+1}y_{n+1} - x_{n+2}y_{n+2}$$
 (spherical case)  
 $x_1y_{n+1} + x_2y_2 + \dots + x_ny_n + x_{n+1}y_1 + x_{n+2}y_{n+2}$  (parabolic case)  
 $-x_1y_1 + x_2y_2 + \dots + x_{n+2}y_{n+2}$  (hyperbolic case)

Let  $P^3$  be the 3-plane generated by  $e_1, e_{n+1}, e_{n+2}$  and the curve C given by  $x_1 = x(s)$ ,  $x_{n+1} = x_{n+1}(s)$ ,  $x_{n+2} = x_{n+2}(s)$ ,  $s \in J$ , where s is the arc length of C and J is an open interval.

**Proposition 5.** (do Carmo, Dajczer [1].) With respect to the basis  $e_1, \ldots, e_{n+2}$ , the following are local parameterizations for the rotation hypersurfaces in  $\mathbf{H}^{n+1}$ :

1. Spherical case:

$$f(s, \theta_1, \dots, \theta_{n-1}) = (x\phi_1, \dots, x\phi_n, x_{n+1}, x_{n+2}),$$

where  $\phi = (\phi_1, \dots, \phi_n)$  is an orthogonal parameterization of the unit sphere in the space generated by  $e_1, \dots, e_n$ .

#### 2. Parabolic case:

$$f(s, \theta_1, \dots, \theta_{n-1}) = \left(x, x\theta_1, \dots, x\theta_i, \dots, x\theta_{n-1}, -\frac{1 + x_{n+2}^2 + x^2 \sum \theta_i^2}{2x}, x_{n+2}\right)$$

3. Hyperbolic case:

$$f(s, \theta_1, \dots, \theta_{n-1}) = (x\phi_1, \dots, x\phi_n, x_{n+1}, x_{n+2}),$$

where  $\phi = (\phi_1, \dots, \phi_n)$  is an orthogonal parameterization of the unit hyperbolic space of  $e_1, \dots, e_n$ .

It can be shown (see [4]) that f is an immersion if and only if x > 0 in the spherical and parabolic cases, and  $x \ge 1$  in the hyperbolic case. These conditions will hold from now on.

We will use the notation  $M_{\delta}$ ,  $\delta=1,0$  or -1, for a rotation hypersurface in  $\mathbf{H}^{n+1}$ , where  $\delta=1$  (resp.  $\delta=0,-1$ ) means that  $M_{\delta}$  is spherical (resp. parabolic, hyperbolic). From now on, we also assume that  $\delta+x^2-\dot{x}^2\geq 0$  on J, where the dot denotes derivative with respect to s.

**Theorem 8.** (do Carmo, Dajczer [1].) Let  $M_{\delta}$  be a rotation hypersurface in  $\mathbf{H}^{n+1}$  defined by the immersion f. Then the directions corresponding to the parameters  $\theta_1, \ldots, \theta_{n-1}$  are principal directions; the principal curvatures  $\kappa_i$  along the coordinate curves corresponding to  $\theta_i$  are all equal and given by

$$\kappa_i = \frac{\sqrt{\delta + x^2 - \dot{x}^2}}{x}$$

i = 1, ..., n-1; the principal curvature along the coordinate curve corresponding to the parameter s is given by

$$\kappa_n = -\frac{\ddot{x} - x}{\sqrt{\delta + x^2 - \dot{x}^2}}.$$

# 2.2. Rotation hypersurfaces with $H_k$ constant

Using the definition of  $H_k$  given in (2) and proposition 5, we can conclude:

**Proposition 6.** The rotation hypersurface  $M_{\delta}^n$  has the prescribed curvature  $H_k$ ,  $k \leq n$ , if and only if x satisfies the following differential equation:

$$nH_k x^k = (n-k)(\delta + x^2 - \dot{x}^2)^{\frac{k}{2}} - k(\delta + x^2 - \dot{x}^2)^{\frac{k-2}{2}} (\ddot{x} - x)x$$
 (12)

for  $k \leq n$ .

From now on, we will suppose that  $H_k$  is constant. Also, we will analyze only the parabolic and hyperbolic cases.

**Proposition 7.** For  $H_k$  constant, equation (12) has the following first integral:

$$G_k(x,\dot{x}) = x^{n-k} ((\delta + x^2 - \dot{x}^2)^{\frac{k}{2}} - H_k x^k) = A$$
 (13)

for  $k \leq n$ ; here A is a constant. In the parabolic case  $(\delta = 0)$ , there exist constant solutions of (12) if and only if  $H_k = 1$ ; moreover, in this case, every constant function x = c is a solution of (12), and the corresponding value of A in (13) is 0. In the hyperbolic case  $(\delta = -1)$ , there exist constant solutions of (12) if and only if  $H_k \in [0, 1)$ .

**Proof.** The fact that  $G_k$  is a first integral of (12) is a straightforward calculation which we shall omit; so, we will analyze the existence of constant solutions.

If we substitute  $\delta = 0$  and  $\dot{x} = 0$  in (12), it follows that  $nH_kx^k = nx^k$ , which in turn implies  $H_k = 1$  (recall that x > 0). Conversely, if  $H_k = 1$ , then every constant function x = c is a solution of (12).

If  $\delta = -1$  and  $\dot{x} = 0$  in (12), we solve the equation obtained for  $H_k$  to get

$$H_k = \frac{1}{x^k} \left( x^2 - 1 \right)^{\frac{k-2}{2}} \left( x^2 - \frac{n-k}{n} \right)$$

The left side of this equation (defined for  $x \geq 1$ ) is an injective function with range equal to the interval [0,1); this means that for every  $H_k \in [0,1)$  there exists only one constant solution x=c of (12) corresponding to this  $H_k$ .

We will call the rotation hypersurfaces corresponding to constant solutions of (12) cylinders. In view of Proposition 7, we have:

**Corollary 1.** Every constant function x = c gives rise to a parabolic cylinder with  $H_k = 1$ . If  $H_k \in [0,1)$ , there exist only one hyperbolic cylinder with  $H_k$  constant.

**Definition 4.** A solution x = x(s) of (12) is *complete* if and only if x is defined for all  $s \in R$  and  $\delta + x^2 - \dot{x}^2 \ge 0$  for all s.

The reason for this definition is that such a solution gives rise to a *complete* rotation hypersurface. As in the first part of this paper, we will investigate the completeness of x by means of the level curves of  $G_k$ .

# 2.3. Parabolic rotation hypersurfaces

Figure 7 shows the level curves of  $G_k$ .

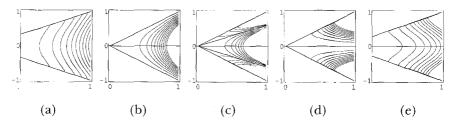


Figure 7: Level curves of  $G_k$ ,  $k \le n$ , for  $\mathbf{H}^{n+1}$  (parabolic case). (a)  $H_k < 0$ ; (b)  $H_k = 0$ ; (c)  $H_k \in (0,1)$ ; (d)  $H_k = 1$ ; and (e)  $H_k > 1$ .

Figures 7(a) and (e) show the level curves of  $G_k$  for  $H_k < 0$  and  $H_k > 1$ , respectively; all these level curves leave the relevant region and we have no complete hypersurfaces in these cases. For  $H_k = 1$ , the only level curves which do not leave this region corresponds to A = 0. As we have seen before, these level curve contains all constant solutions of (12).

So, the remaining case is  $H_k \in [0,1)$ . We recall that  $G_k$  has the form

$$G_k(x,\dot{x}) = x^{n-k}((x^2 - \dot{x}^2)^{\frac{k}{2}} - H_k x^k) = A$$
(14)

If A = 0 in (14), we obtain

$$\left(1 - H_k^{2/k}\right) x^2 - \dot{x}^2 = 0,$$

or

$$\dot{x} = \pm \sqrt{1 - H_k^{2/k}} x,$$

and if we impose the initial condition x(0) = 1, then

$$x(s) = e^{\pm \sqrt{1 - H_k^{2/k}} s}.$$

Now, we claim that any two parabolic hypersurfaces with the same  $H_k$  and  $A \neq 0$  are the same (see [1]). For that purpose, let us rewrite (14) in the form

 $(x^{(n-k)/k}\dot{x})^2 = x^{2n/k} - (H_k x^n + A)^{2/k}$ 

Let  $z = x^{n/k}$ , so we can write the former equation as

$$\dot{z}^2 = \frac{n^2}{k^2} \left( z^2 - (H_k z^k + A)^{2/k} \right)$$

Reordering and integrating, we have

$$s = \frac{k}{n} \int \frac{dz}{\sqrt{z^2 - (H_k z^k + A)^{2/k}}}$$

Now, take  $z = A^{1/k}w$  to obtain

$$s = \frac{k}{n} \int \frac{dw}{\sqrt{w^2 - (H_k w^k + 1)^{2/k}}}$$

This last expression does not depend on A. As in [1], we can see that the principal curvatures  $\kappa_i$  also do not depend on A, as well as the first and second fundamental forms. This proves our claim.

We collect all these facts in the following result.

**Theorem 9.** (Classification of complete parabolic hypersurfaces,  $k \leq n$ )

- 1. There are no complete parabolic hypersurfaces with  $|H_k| > 1$ .
- 2. There are no complete parabolic hypersurfaces with  $H_k \in [-1, 0)$ , for k even.
- 3. For each  $H_k \in [0,1)$ , there are only two complete parabolic hypersurfaces with such  $H_k$  (up to isometries).

# 2.4. Hyperbolic rotation hypersurfaces

The analysis of  $G_k$  is very similar to that in the parabolic case. In figure 8 we have depicted some level curves of this function in a  $(u, \dot{x})$ -plane. Our result is as follows.

**Theorem 10.** (Classification of complete hyperbolic hypersurfaces,  $k \leq n$ ) If  $H_k$  is the (constant) k-th curvature of a hypersurface, then

- 1. There are no complete hyperbolic hypersurfaces with  $|H_k| > 1$ .
- T here are no complete hyperbolic hypersurfaces with  $H_k \in [-1,0)$ , for k even.
- 2. For each  $H_k \in [0,1)$ , there exist a one-parameter family of complete hyperbolic hypersurfaces with such  $H_k$ .

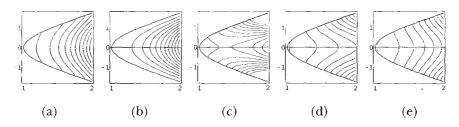


Figure 8: Level curves of  $G_k$ ,  $k \le n$ , for  $\mathbf{H}^{n+1}$  (hyperbolic case). (a)  $H_k < 0$ ; (b)  $H_k = 0$ ; (c)  $H_k \in (0,1)$ ; (d)  $H_k = 1$ ; and (e)  $H_k > 1$ .

# 3. Open questions

In hyperbolic space, we have not been able to determine explicitly many of the hypersurfaces which "bound" the families here described.

In the case of  $S^{n+1}$ , we have included the figures of the cases which we have not studied in detail. Figures 5(a) and (b) show the level curves corresponding to two different values of  $H_k < H_k^0$ . Figure 5(c) shows that, when  $H_k^0 < H_k < 0$ ,  $G_k$  has two critical points, one of which corresponds to a cylinder.

Let us call  $A_1$  the value of  $G_k$  at this critical point, and  $A_0$  the value of  $G_k$  at the other critical point. Then, every level curve corresponding to  $A \in (A_0, A_1)$  is a closed curve, which may or not correspond to a hypersurface in  $\mathbf{S}^{n+1}$ , this fact depending on the period of the profile curve.

We may calculate this period as follows: solving the expression of  $G_k$  for  $\dot{f}^2$ , and substituting the result in the spherical expression of  $\dot{h}^2$  [obtained from (1)], we get

$$\dot{h}^2 = \frac{(H_k f^n + A)^{2/k}}{f^{2(n-k)/k} (1 - f^2)^2}.$$

For every  $A \in (A_0, A_1)$ , f attains a minimum, so r attains a minimum  $r_1$ . Away from  $r_1$ , we divide this last formula by  $\dot{f}^2$  to get

$$\left(\frac{dh}{df}\right)^2 = \frac{(H_k f^n + A)^{2/k}}{f^{2(n-k)/k} \left(1 - f^2\right)^2 - (H_k f^n + A)^{2/k}} \cdot \frac{1}{(1 - f^2)^2}.$$

Then, thinking on h as a function of f, the period P of the profile curve is

$$P = 2 \int_{f_0}^{f_1} \frac{dh}{df} = 2 \int_{f_0}^{f_1} \sqrt{\frac{\left(H_k f^n + A\right)^{2/k}}{f^{2(n-k)/k} \left(1 - f^2\right)^2 - \left(H_k f^n + A\right)^{2/k}}} \cdot \frac{1}{1 - f^2},$$

where the limits  $f_0$ ,  $f_1$  of the above integral are solutions of  $G_k(f,0) = A$ . It is clear that the profile curve gives rise to an immersed hypersurface if and only if the period is a rational multiple of  $2\pi$ , and to an embedded hypersurface if and only if the period is precisely  $2\pi$ .

In [3], Leite analyzed the above integrals for k = 2, showing that, for  $H_2 > H_k^0$  (our notation differs slightly), there exists a countable family of c.r.h. with such  $H_2$ . In fact, H. Mori [4] asserted that it is a monoparametric family, but we are not sure of this fact. We expect that Leite's result can be generalized to  $H_k$ .

Finally, we did not draw the profile curves, because they are completely analogous to the case k = 2, studied in detail by Leite. We refer the interested reader to [3].

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